



STIC EIC 3600 228438

Fast & Focused Search Request

Today's Date: 6/19/2007 Class/Subclass 705/35, 36R What date would you like to use to limit the search? Priority Date: 1/26/2000 Other:

Name Elda Milef Format for Search Results (Circle One):
AU 3692 Examiner # 80986 ☒ PAPER ☐ DISK ☐ EMAIL
Room # 5B65 Phone 8/24 Where have you searched so far?
Serial # 09/491, 388 ☒ USP ☐ DWPI ☐ EPO ☐ JPO ☐ ACM ☐ IBM ☐ TDB
IEEE INSPEC SPI Other _____

A "Fast & Focused" Search is completed in 2-3 hours (maximum). The search must be on a very specific topic and meet certain criteria. The criteria are posted in EIC3600 and on the EIC3600 NPL Web Page at <http://ptoweb/patents/stic/stic-tc3600.htm>.

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.

initial public offering (IPO) or direct public offering (DPO)
done through

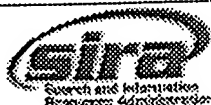
→ shelf registration or shelf offering(s)
→ SEC Rule 415

Also may be searched as
initial public offering (IPO) or DPO and staged
offerings, successive offerings

Claim 1 - see attached

Prior search done by Janice Burns #166034

STIC Searcher Paul Dobing Phone 27734
Date picked up 06/20/07 Date Completed 06/20/07





STIC Search Report

EIC 3600

STIC Database Tracking Number: 228438

TO: Elda Milef
Location: KNX 05 B65
Art Unit : 3692

Case Serial Number: 09/491388

From: Paul Obiniyi
Location: EIC 3600
KNX 04 C25
Phone: 27734

paul.obiniyi@uspto.gov

Search Notes

Dear Examiner Milef,

Attached please find the results of your search. Please feel free to contact me if you have additional questions or would like a re-focus search. Thank you and have a great day.

Paul

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Set	Items	Description
S1	14053	S IPO OR INITIAL()PUBLIC()OFFER? OR DIRECT()PUBLIC()OFFER??? OR DPO OR (STAGED OR SUCCESSIVE) (3N)OFFER???
S2	623	S SHELF()REGISTRATION? ? OR SHELF()OFFERING? ?
S3	33	S SEC()RULE()415 OR SEC()415 OR RULE()415
S4	1	S S3 AND S1
S5	18	S S3 AND S2
S6	3	S S1 AND S2

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**File 624: Homeland Security & Defense and 9 Plat energy journals added Please see HELP NEWS624 for more*

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Set	Items	Description
S1	866737	S IPO OR INITIAL()PUBLIC()OFFER? OR DIRECT()PUBLIC()OFFER??? OR DPO OR (STAGED OR SUCCESSIVE) (3N)OFFER???
S2	66838	S SHELF()REGISTRATION? ? OR SHELF()OFFERING? ?
S3	5596	S SEC()RULE()415 OR SEC()415 OR RULE()415
S4	199	S S1(15N)S2
S5	0	S S4(7N)S3
S6	0	S S1(7N)S3
S7	2184	S S2(7N)S3
S8	71	S S7 AND S1
S9	41	RD (unique items)
S10	25	S S9 NOT PY>2000